

Time:

Location:

Office Hours:

Location:

E-mail:

This course provides a graduate level introduction to the study of Chinese politics. The course explores both the content of 20th and 21st century Chinese political history with an emphasis on the past thirty years of reform as well as the methods and approaches used by scholars trying to peer into this often opaque society. Substantive topics include factionalism and elite politics, economic reforms, contemporary social movements, development, international relations, and inequality. Methodologically, the course focuses on how to conduct research in today's China by assessing the tools of "China Hands" past and present.

Course Requirements

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|------------------------------|-----|
| 1. Response Papers | 20% |
| 2. Participation | 20% |
| 3. Leading Discussion | 10% |
| 4. Research Paper/Prospectus | 50% |

Each student is responsible for writing 3 response papers (≤ 4 pages) over the semester to be shared with the class and instructor 24 hours before the session. Response papers should be full of comments and synthesis (not summary) and conclude with discussion questions. Starting in week 3, each session will have one or more discussion leaders tasked with moving the conversation forward. The research paper/prospectus (≤ 20 pages) is described in the Week 1 piece by Roeder with the addendum that at least some analysis of data (quantitative or qualitative) should be included if possible. A draft outline of the paper must be completed a week before the midterm period.

Policies and Procedures

This course follows all normal University procedures, including those related to disability services and academic misconduct.

Plagiarism

The strength of the university depends on academic and personal integrity. Plagiarism is the use of someone else's work, words, or ideas as if they were your own. Yale punishes plagiarism and other examples of academic dishonesty severely. The most common penalty is

suspension from the university, but students caught plagiarizing are also subject to more severe punishments. Please be sure to review Yale's policies on Academic Integrity.

[\[http://www.yale.edu/graduateschool/academics/ethics.html\];](http://www.yale.edu/graduateschool/academics/ethics.html)

[http://yalecollege.yale.edu/content/cheating-plagiarism-and-documentation\]](http://yalecollege.yale.edu/content/cheating-plagiarism-and-documentation)

Schedule of Readings

All readings are available online through the course website, linked, on reserve at the library, or available at a bookstore of your choosing. If you have a problem obtaining the materials, please let me know immediately. The following three books may be useful for those without extensive background in Chinese politics.

Kenneth Lieberthal. 2004. *Governing China: From Revolution Through Reform*. Second Edition.

Barry Naughton. 2007. *The Chinese Economy: Transitions and Growth*.

Roderick MacFarquhar, ed. 2011. *The Politics of China [3rd Edition] Sixty Years of the People's Republic of China*.

Week 1 – Introduction

[1] Phil Roeder, On Writing a Research Prospectus

[2] Robert Bates. 1997. "Area Studies and the Discipline: A Useful Controversy?" *PS: Political Science and Politics*, 30:2, pp. 166-169.

[3] Perry Link. 2002. "The Anaconda in the Chandelier," *New York Review of Books*.

[4] Carsten Holz. 2007. "Have All China Scholars Been Bought?" *Far Eastern Economic Review*.

Week 2 – Data Sources and Resources

[1] Alan Carlson, et al. (ed). 2010. *Contemporary Chinese Politics: New Sources, Methods, and Field Strategies*. Cambridge University Press.

[2*] Roderick MacFarquhar 1971. "On Photographs," *China Quarterly*. No. 46, pp. 289-307

[3*] Michel Oksenberg. 1974. "Communications within the Chinese Bureaucracy," *China Quarterly*. No. 57, pp. 1-39

Week 3 – Where Are We?

[1] Dali Yang. 2006. "Economic Transformation and Its Political Discontents in China: Authoritarianism, Unequal Growth, and the Dilemmas of Political Development," *Annual Review of Political Science*, Vol. 9, pp. 143-64.

[2] Graeme Smith. 2009. "Political Machinations in a Rural County" *China Journal*.

[3] Harry Harding. 1984. "The Study of Chinese Politics: Toward and Third Generation of Scholarship," *World Politics* 36, pp. 284-307.

[4] Lynn White III. 2009. "Chinese Political Studies: Overview of the State of the Field." *Journal of Chinese Political Science*.

Week 4 – Party, Factions, and Elite Politics, I

[1] Andrew Nathan. 1973. "A Factional Model of Chinese Politics," *China Quarterly*, No. 53.

[2] Tsou Tang 1976. "Prolegomena to the Study of Informal Groups in CCP Politics," *China Quarterly*, No. 65, pp. 98-114.

[3] Lowell Dittmer. 1978. "Bases of Power in Chinese Politics: The Fall of the Gang of Four," *World Politics*, 31(1), pp. 26-55.

[4] Lowell Dittmer. 1995. "Chinese Informal Politics" & "Informal Politics Reconsidered," *The China Journal*, 34, pp. 1-34, 193-208.

[5] Richard MacGregor. 2010. *The Party*. Harper Collins.

Week 5 – Party, Factions, and Elite Politics, II

[1] Special Issue of the *China Journal* 34 (July 1995)

[2] David Lampton. 2008. *The Three Faces of Chinese Power: Might, Money, and Minds*. University of California Press.

[3] David Shambaugh. 2008. *China's Communist Party: Atrophy and Adaptation*. University of California Press.

[4] Pierre Landry. 2008. *Decentralized Authoritarianism in China*. Cambridge University Press.

Week 6 – Fiscal Reforms

[1] Christine Wong. 1991. "Central-Local Relations in an Era of Fiscal Decline: The Paradox of Fiscal Decentralization in Post-Mao China," *China Quarterly*, No. 128, pp. 691-715.

[2] Gabriela Montinola, Yingyi Qian, & Barry Weingast. 1995. "Federalism, Chinese Style: the Political Basis for Economic Success in China," *World Politics*, Vol. 48(1), pp. 50-81.

[3] Susan Shirk 1993. *Political Logic of Economic Reform in China*, University of California Press.

[4*] Yasheng Huang. 1996. "Central-local relations in china during the reform era: The economic and institutional dimensions," *World Development*, Vol. 24(4), pp. 655-72.

[5*] Huang Yasheng. 1998. *Inflation and Investment Controls in China: The Political Economy of Central-Local Relations during the Reform Era*. Cambridge University Press.

[6*] Victor Shih & Zhang Qi. 2007. "Who receives subsidies? A look at the county level in two time periods." in *Paying for Progress in China*. V. Shue and C. Wong, eds.

Week 7 – Industrialization and Reform

[1] Barry Naughton. 1992. "Implications of the State Monopoly over Industry and its Relaxation," *Modern China* 18.

- [2] Jean Oi. 1992. "Fiscal Reform and the Economic Foundations of Local State Corporatism in China," *World Politics*. Vol. 45(1), pp. 99-126.
- [3] Yasheng Huang. 2008. *Capitalism with Chinese Characteristics*. Cambridge University Press.
- [4*] Ed Steinfeld. 2000. *Forging Reform in China: The Fate of State-Owned Industry*, Cambridge University Press. [Selections.]

Week 8 – Protests & Social Movements

- [1] Kevin O'Brien & Lianjiang Li. 2006. *Rightful Resistance in Rural China*. Cambridge Univ. Press.
- [2] Yongshun Cai. 2004. "Managed Participation in China," *Political Science Quarterly*, Vol. 119(3), pp. 425-51.
- [3] Kevin O'Brien [ed.]. 2008. *Popular Protest in China*.

Week 9 – China in Top Political Science Journals Today

- [1] James Kung & Shuo Shen. 2011. "The Tragedy of *Nomenklatura*." *American Political Science Review*.
- [2] Gang Guo. 2009. "China's Local Political Budget Cycles." *American Journal of Political Science*, 53(3), pp 621-632.
- [3] Lily Tsai. 2007. "Solidary Groups, Informal Accountability, and Local Public Goods Provision in Rural China," *American Political Science Review*, 101(2), pp. 355 -372.
- [4] Xi Chen. 2009. "The Power of 'Troublemaking': Protest Tactics and Their Efficacy in China." *Comparative Politics*. Vol. 41(4).
- [5] Pierre Landry, Deborah Davis, and Shiru Wang. 2010. "Elections in Rural China: Competition Without Parties." *Comparative Political Studies*. Vol. 43(6). pp. 763-790.

Week 10 – International Relations, I

- [1] Thomas Christensen. 1996. *Useful Adversaries: Grand Strategy, Domestic Mobilization, and Sino-American Conflict, 1947-1958*. Princeton Univ. Press.
- [2] Bo Yibo, "The Making of the 'Leaning to One Side' Decision," *Chinese Historian*, Vo. 5, No. 1 (Spring 1992), pp. 57-62.
- [3] Thomas J. Christensen, "China, the US-Japan Alliance, and the Security Dilemma in East Asia," *International Security*, Vol. 23, No. 4 (Spring 1999), pp. 49-80
- [4] Robert Ross, "The 1996 Taiwan Strait Confrontation: Coercion, Credibility and Use of Force," *International Security*, Vol. 25, No. 2 (Fall 2000), pp. 87-123

Week 11 – International Relations, II

- [1] Victor D. Cha and David C. Kang, "The Debate over North Korea," *Political Science Quarterly*, Vol. 119, No. 2 (2004), pp. 229-254
- [2] He Yan "History, Chinese Nationalism and the Emerging Sino-Japanese Conflict," *Journal of Contemporary China*, Vol. 16, No. 50 (February 2007), pp. 1-24
- [3] Kang, David. *China Rising: Peace, Power, and Order in East Asia* (New York: Columbia University Press, 2007).
- [4] Johnston, Alastair Iain. "Is China a Status Quo Power?" *International Security* 27, no. 4 (Spring 2003): 5-56.
- [5] Jessica Weiss. "Autocratic Signaling, Mass Audiences and Nationalist Protest in China" *International Organization*. Forthcoming.

Week 12 – China and the Global Financial Crisis

- [1] Barry Naughton. 2009. "The Scramble to Maintain Growth." *China Leadership Monitor*.
- [2] Barry Naughton. 2009. "Loans, Firms, and Steel: Is the State Advancing at the Expense of the Private Sector?" *China Leadership Monitor*.
- [3] Alice Miller. 2009. "Leadership Sustains Public Unity amid Stress." *China Leadership Monitor*.
- [4] Joseph Fewsmith. 2009. "Social Order in the Wake of Economic Crisis." *China Leadership Monitor*.

Week 13 – China and Comparative Politics

- [1] Stathis Kalyvas. 1999. "The Decay and Breakdown of Communist One-Party Systems." *Annual Review of Political Science*.
- [2] Lucan Way. 2011. "Comparing the Arab Revolts – The Lessons of 1989." *Journal of Democracy*. pp. 17-27.
- [3] Mark Thompson. 2001. "To Shoot or Not to Shoot: Posttotalitarianism in China and Eastern Europe." *Comparative Politics*.
- [4] Isabela Mares & Matthew Carnes. 2009. "Social Policy in Developing Countries." *Annual Review of Political Science*.
- [5] Jennifer Gandhi & Ellen Lust-Okar. 2009. "Elections under Authoritarianism." *Annual Review of Political Science*.