

# Authoritarianism and Democracy

Government 6483

Fall 2016

What do they know of England who only England know? – Rudyard Kipling

Instructor:	Jeremy Wallace	Email:	wallace@cornell.edu
Time:	W 2:30-4:25	Place:	Uris Hall 394
Office Hours:	W 9:30-11:15, or by appt	Place:	White Hall 211

Officials come to their positions of power in ways that vary over time and space. Comparativists have, historically, focused their attentions on democracies and on transitions from authoritarian regimes to democratic ones. This seminar will consider definitions of these regime types but aims to have a different, broader focus: the politics of authoritarian regimes and regime transitions of all kinds.

## Course Requirements and Grading:

Participation & Attendance - 25%      Responses (4) - 25%      Research Paper - 50%

Participation & Attendance – The course is a seminar and so your attendance and active participation in the discussion is required.

Responses – Each student will write 4 responses (~500 words per response) during the semester. Responses synthesize the readings of the week and should end with discussion questions. Response writers will help lead and guide the seminar for that week’s session.

Research Paper – By the date of the final session, students are expected to turn in a research paper (8000-10,000 words). First, two “pitches” – 1 page paper ideas that make the case for a particular piece of research – will be presented in Week 6. Two weeks later, a single draft outline is due by the end of Week 8, and a 4000+ word draft shared with other students and the instructor is due at the end of Week 11 and discussed in Week 12.

## Integrity and Accommodation:

Each student in this course is expected to abide by the University’s Code of Academic Integrity. In compliance with university policy and equal access laws, I am available to discuss appropriate academic accommodations that may be required for student with disabilities.

## Schedule of Readings

Almost all of the readings are linked or on Blackboard, with the exception of *The Net Delusion* (Morozov), which is available at our library and for purchase online.

### Introductions, and How to Write Them [2016.08.24]

- [1] Andrew Little. 2016. "Three Templates for Introductions to Political Science Articles."
- [2] Barry Weingast. 2010. "Structuring Your Papers (Caltech Rules)."
- [3] Eric X. Li. 2012. "Why China's Political Model is Superior." *The New York Times*.
- [4] Yascha Mounk. 2016. "The Week Democracy Died." Slate. <http://goo.gl/QZuetG>
  - ❖ Adam Przeworski. 1991. *Democracy and the Market*. Prologue.
  - ❖ Alan Furst. 1988. *Night Soldiers*. pp. 1-28 (i.e. Kindle Sample). [amzn.to/17hUk8u](http://amzn.to/17hUk8u)
  - ❖ Sheila Fitzpatrick. *Everyday Stalinism*.

### Measurement of Democracy [2016.08.31]

- [1] Marlies Glasius et al. 2015. "Reconceptualizing Authoritarianism." *APSA-Comparative Democratization Newsletter*.
- [2] Michael Coppedge & John Gerring et. al. 2011. "Conceptualizing and Measuring Democracy: A New Approach." *Perspectives on Politics (PoP)*. pp. 247-267.
- [3] Benedict Anderson. 1988. "Cacique Democracy and the Philippines."
- [4] The Quality of Government Dataset Codebook. [Most recent version](#).
  - ❖ Philippe C. Schmitter and Terry Lynn Karl 1991. "What Democracy Is....and Is Not," *Journal of Democracy (JoD)*. pp. 75-88.
  - ❖ Gerardo Munck & Jay Verkuilen. 2002. "Conceptualizing and Measuring Democracy: Evaluating Alternative Indices." *Comparative Political Studies (CPS)*.
  - ❖ Robert Dahl. 1972. *Polyarchy: Participation and Opposition*. New Haven: Yale University Press, pp. 1-16 (Blackboard).
  - ❖ Shawn Treier & Simon Jackman. 2008. "Democracy as a Latent Variable." *American Journal of Political Science (AJPS)*.

### **Authoritarian Regime Types [2016.09.07]**

- [1] Barbara Geddes, Joseph Wright, and Erica Frantz. 2014. "Autocratic Breakdown and Regime Transitions: A New Data Set." *PoP*. pp. 313-31.
  - [2] Jose Cheibub, Jennifer Gandhi, & James Vreeland 2010. "Democracy and Dictatorship Revisited." *Public Choice*. pp. 67-101.
  - [3] Axel Hadenius & Jan Teorell. 2007. "Pathways from Authoritarianism." *JoD*.
  - [4] Thomas Pepinsky. 2014. "The Institutional Turn in Comparative Authoritarianism." *British Journal of Political Science (BJPS)*. pp. 631-653.
- ❖ Michael Bratton & Nicolas Van de Walle. 1994. "Neopatrimonial Regimes and Political Transitions in Africa." *World Politics* 46(4): 453-489.
  - ❖ Barbara Geddes. 1999. "What do we know about Democratization after 20 Years." *Annual Review of Political Science (ARPS)*.
  - ❖ Juan Linz. 2000. *Totalitarian and Authoritarian Regimes*. Introduction & Chapter 2.

### **Development and Democracy [2016.09.14]**

- [1] Adam Przeworski and Fernando Limongi. 1997. "[Modernization: Theories and Facts](#)," *World Politics* 49(2): 155-183.
  - [2] Daron Acemoglu & James Robinson. 2012. *Why Nations Fail: The Origins of Power, Prosperity, and Poverty*.
  - [3] Robert Kaufman. 2009. "The Political Effects of Inequality in Latin America: Some Inconvenient Facts." *Comparative Politics (CP)*.
  - [4] Carles Boix 2011. "Democracy, Development, and the International System." *APSR*.
- ❖ David Kang. 2002. *Crony Capitalism: Corruption and Development in South Korea and the Philippines*.
  - ❖ Benjamin Smith. 2007. *Hard Times in the Land of Plenty: Oil Politics in Iran and Indonesia*.

### **Transitions [2016.09.21]**

- [1] Timur Kuran. 1991. "Now out of Never: The Element of Surprise in the East European Revolution of 1989." *World Politics*.
- [2] Stathis Kalyvas. 1999. "The Decay and Breakdown of Communist One-Party Systems." *ARPS*.

- [3] Stephan Haggard and Robert R. Kaufman. 2012. "[Inequality and Regime Change: Democratic Transitions and the Stability of Democratic Rule](#)," *American Political Science Review* 106, 3.
- [4] Thomas Pepinsky. 2009. *Economic Crises and the Breakdown of Authoritarian Regimes: Indonesia and Malaysia in Comparative Perspective*.
- [5] Jason Brownlee, Tarek Masoud, and Andrew Reynolds. 2015. *The Arab Spring: Pathways of Repression and Reform*.
- ❖ Alberto Diaz-Cayeros, Beatriz Magaloni, & Barry Weingast. 2003. "Tragic Brilliance: Equilibrium Hegemony and Democratization in Mexico." *Working Paper*.
  - ❖ Tarek Masoud 2011. "The Road to & from Liberation Square." *JoD*. pp. 21-34.
  - ❖ Peter Schraeder & Hamadi Redissi 2011. "Ben Ali's Fall." *JoD*. pp. 5-19.
  - ❖ Lucan Way. 2011. "Comparing the Arab Revolts – The Lessons of 1989." *JoD*. pp. 17-27.

#### **Authoritarian Institutions [2016.09.28]**

- [1] Valerie Bunce. 1999. *Subversive Institutions: The Design and the Destruction of Socialism and the State*. (Selections)
- [2] Edmund Malesky, Regina Abrami, and Yu Zheng. 2011. "Institutions and Inequality in Single-Party Regimes: A Comparative Analysis of Vietnam and China." *CP*.
- [3] Dan Slater. 2010. *Ordering Power: Contentious Politics and Authoritarian Leviathans in Southeast Asia*.
- [4] Jennifer Gandhi. 2008. *Political Institutions under Dictatorship*.
- ❖ Andrew MacIntyre. 2002. *The Power of Institutions: Political Architecture and Governance*.
  - ❖ Andrew Mertha. Forthcoming. "'Stressing Out': Reform-Era Cadre Calibration and Affective Proximity to the Chinese Communist Party." *China Quarterly*.

#### **Regime Survival: Control and Violence [2016.10.05]**

- [1] Eva Bellin. 2004. "[The Robustness of Authoritarianism in the Middle East: Exceptionalism in Comparative Perspective](#)," *Comparative Politics* 36(2): 139-157.

- [2] Eva Bellin. 2012. "[Reconsidering the Robustness of Authoritarianism in the Middle East: Lessons from the Arab Spring](#)," *Comparative Politics* 44(2): 127-149.
- [3] Mark Thompson. 2001. "To Shoot or Not to Shoot: Posttotalitarianism in China and Eastern Europe." *Comparative Politics*.
- [4] Steven Levitsky & Lucan Way. 2012. "Beyond Patronage: Violent Struggle, Ruling Party Cohesion, and Authoritarian Durability." *PoP*.
- [5] Sheena Grietens. 2016. *Dictators and Their Secret Police: Coercive Institutions and State Violence*.
- ❖ Pablo Policzer. 2009. *The Rise and Fall of Repression in Chile*.
  - ❖ Maria Stephan & Erica Chenoweth. 2008. "Why Civil Resistance Works: The Strategic Logic of Nonviolent Conflict." *International Security*.
  - ❖ James Fearon & David Laitin. 2003. "Ethnicity, Insurgency, & Civil War." *APSR*.
  - ❖ Ronald Wintrobe. 1990. "The Tinpot and the Totalitarian: An Economic Theory of Dictatorship." *APSR*.
  - ❖ Leonard Wantchekon. 2004. "The Paradox of 'Warlord' Democracy: A Theoretical Investigation." *APSR*.

### **Coups and Military Rule [2016.10.12]**

- [1] John Londregan & Keith Poole. 1990. "Poverty, the Coup Trap, and the Seizure of Executive Power." *World Politics*.
- [2] Barbara Geddes, Erica Frantz, & Joseph Wright. 2014. "Military Rule." *ARPS*.
- [3] Milan Svoblik. 2012. *The Politics of Authoritarian Rule*. (Selections)
- [4] Jonathan Powell & Clayton Thyne. 2011. "Global instances of coups from 1950 to 2010: A new dataset." *Journal of Peace Research*.
- ❖ Andrew Mertha. 2014. *Brothers in Arms: Chinese Aid to the Khmer Rouge, 1975-1979*.
  - ❖ Curtis Bell. 2016. "Coup d'État and Democracy." *CPS*. 49(9): 1167-1200.

### **Regimes and Redistribution [2016.10.19]**

- [1] Bruce Bueno de Mesquita, James D. Morrow, Randolph M. Siverson, & Alastair Smith. 2002. "Political Institutions, Policy Choice and the Survival of Leaders." *BJPS*.
- [2] Kimuli Kasara. 2007. "Tax Me If You Can: Ethnic Geography, Democracy, and the Taxation of Agriculture in Africa." *American Political Science Review*.

- [3] Lisa Blaydes & Mark Kayser 2011. "Counting Calories: Democracy and Distribution in the Developing World." *International Studies Quarterly*.
- [4] Jeremy Wallace. 2013. "Cities, Redistribution, and Authoritarian Regime Survival." *JOP*.
- ❖ Anek Laothamatas. 1996. "A Tale of Two Democracies: Conflicting Perceptions of Elections and Democracy in Thailand." In: *The Politics of Elections in Southeast Asia*. Edited by R. H. Taylor.
  - ❖ Robert Albritton & Thawilwadee Bureekul. 2008. "The state of democracy in Thailand"
  - ❖ Yoshifumi Tamada. 2008. *Myths and Realities: The Democratization of Thai Politics*.

### **Elections in Nondemocracies [2016.10.26]**

- [1] Andrew Little. 2012. "Elections, Fraud, and Election Monitoring in the Shadow of Revolution." *Quarterly Journal of Political Science*.
- [2] Jennifer Gandhi & Ellen Lust-Okar. 2009. "Elections under Authoritarianism." *ARPS*.
- [3] Beatriz Magaloni. 2006. *Voting for Autocracy: Hegemonic Party Survival and its Demise in Mexico*. (Selections)
- [4] Edmund Malesky and Paul Schuler. 2011. "The Single Party Dictator's Dilemma: Information in Elections without Opposition." *Legislative Studies Quarterly*.
- [5] Lisa Blaydes. 2010. *Elections and Distributive Politics in Mubarak's Egypt*.
- ❖ James Fearon. 2011. "Self-Enforcing Democracy." *Quarterly Journal of Economics*.
  - ❖ Valerie Bunce and Sharon Wolchik. 2010. "[Defeating Dictators: Electoral Change and Stability in Competitive Authoritarian Regimes](#)," *World Politics* 62(1): 43-86.
  - ❖ Mark Beissinger. 2007. "Structure and example in modular political phenomena: The diffusion of Bulldozer/Rose/Orange/Tulip Revolutions." *Perspectives on Pol.* pp. 259-276.

### **The Internet and Nondemocratic Politics [2016.11.02]**

- [1] Evgeny Morozov. 2011. *The Net Delusion: The Dark Side of Internet Freedom*.
- ❖ Gary King, Jennifer Pan, & Molly Roberts. 2013. "How Censorship Allows Government Criticism but Silences Collective Expression." *APSR*.

- ❖ Aim Sinpeng. 2013. "State Repression in Cyberspace: The Case of Thailand." *Asian Politics and Policy*.

### **Information, Ideology, and Power [2016.11.09]**

- [1] James Scott. 1998. *Seeing Like a State: How Certain Schemes to Improve the Human Condition Have Failed*.
- [2] Kenneth Roberts. 2015. *Changing Course in Latin America: Party Systems in the Neoliberal Era*.
- [3] Dan Slater & Diana Kim. 2014. "Standoffish States: Nonliterate Leviathans in Southeast Asia." *TRaNS*.
- [4] Lisa Wedeen. 2013. "Ideology and Humor in Dark Times: Notes from Syria." *Critical Inquiry*.

- ❖ Andrew Mertha. 2009. "[Fragmented Authoritarianism 2.0](#)." *China Quarterly* 200: 995-1012.

### **Authoritarianism Today [2016.11.16]**

- [1] Jeremy Wallace. 2016. *Seeking Truth and Hiding Facts: Authoritarian Rule in China* (book manuscript in progress, selections)
- [2] Marie Lall. 2016. *Understanding Reform in Myanmar: People and Society in the Wake of Military Rule*.
- [3] Dan Slater & Joseph Wong. 2013. "The Strength to Concede: Ruling Parties and Democratization in Developmental Asia." *PoP*.
- [4] Vincent Boudreau. 2009. *Resisting Dictatorship: Repression and Protest in Southeast Asia*.

### **Thanksgiving Break, No Class [2016.11.23]**

### **Student Presentations [2016.11.30]**